



# The Institutional Repository and Minho University OA Policy

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# Summary



- University of Minho
- Origins of RepositóriUM – University of Minho I.R.
- University of Minho Intellectual Output Policy
- Conclusions and future work



# University of Minho



- Created in 1974
- Two main campus in two different towns (Braga and Guimarães)
- +13 500 undergraduate students
- +1 500 graduate students
- 1 116 FTE academic staff (teachers and researchers)
- 10 Schools/Institutes
- 30 Research Centers



# University of Minho



Universidade do Minho



School of Science



School of Health Sciences



Law School



School of Economy and Management



School of Engineering



Social Sciences Institute



Institute of Education and Psychology



Institute of Child Studies



Humanities Institute





Architecture Department



# Origins of RepositóriUM



- Building an I.R. was defined as a strategic objective in 2003
- The I.R. was included in the University proposal to the national Program E-U Campus Virtual, was approved and integrates E-UM (the University of Minho project).  
- After a review of available systems, DSpace was chosen to implement the I.R.
- RepositóriUM was publicly released in November, 20, 2003



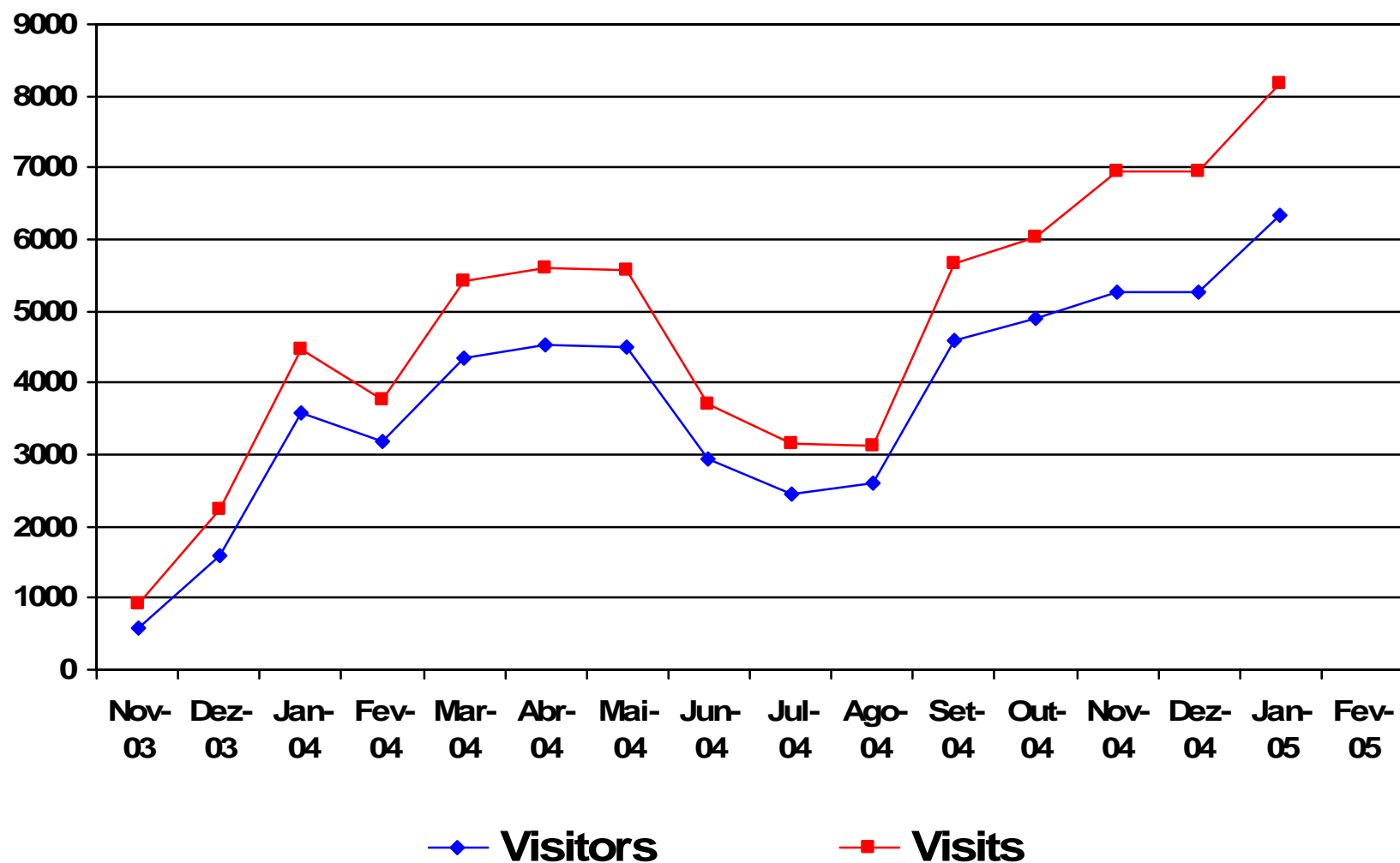
# The first year of RepositóriUM



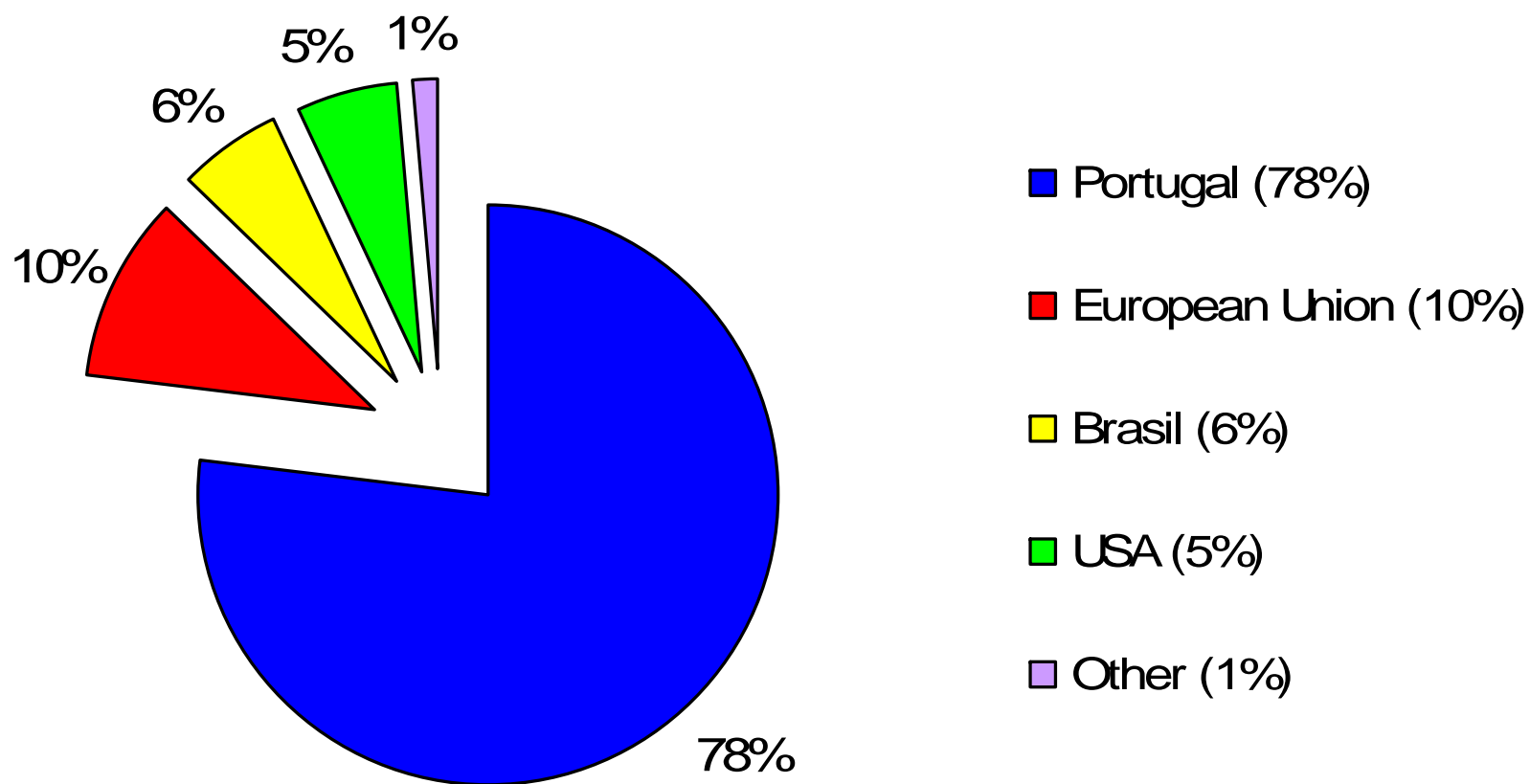
- 9 communities (research centers/departments) started using RepositóriUM.
- A new version of RepositóriUM, based in DSpace 1.2, was disclosed (18 of November 2004).
- Some R&D is being done at U.M. around RepositóriUM and DSpace:
  - <http://dspace-dev.dsi.uminho.pt>
  - <http://lusospace.sdum.uminho.pt>
  - XML version of ACM CCS (Computing Classification System) - <http://www.acm.org/class/1998/>
  - Research on information behavior of scholars related with I.R.
- RepositóriUM has good visibility and use: more than 55.000 visitors from Portugal, EU, Brasil and USA



# Visitors/Visits



# Use by country





# University of Minho

## Intellectual Output Policy



- Established by a Rectoral Document, signed in the 6th of December, after discussion with the Presidents and Directors of Research Centers, Departaments and Schools.
- Following the signature of the Berlin Declaration in November 2004
- Implemented from January 1st 2005



# University of Minho

## Intellectual Output Policy



### Whereas:

- 1 - It's in the best interest of University of Minho, and its objective, to maximise the visibility, usage and impact of the scientific output of its schools/departments and teachers/researchers.
- 2 - This objective can be achieved by making available in open access (i.e., full text, online, free, unrestricted and worldwide) the publications and documents resulting of R&D activities developed in the university, through RepositóriUM – University of Minho Institutional Repository, with the exceptions mentioned below.
- 3 - The significant majority of documents and publications resulting from scientific activity in University of Minho can be self-archived and available in open access in RepositóriUM, without any restriction associated with the violation of copyright, because:
  - a) Authors (U.M. teachers/researchers) are the single rights holders of their thesis and dissertations, of most of conference papers (unless they have explicitly transfer those rights), of technical reports, working papers, etc.
  - b) The significant majority of the journals (approximately 92%) allow some kind of self-archive of the preprints (version sent for publication before peer-review and publication approval) and/or postprints (final version, after peer-review and publication approval) of the articles they publish.
  - c) Even in dubious situations or when authors may have signed a restrictive copyright transfer form, the inquiry with the holders of the rights may result in the authorisation (or at least in the non prohibition) for self-archiving in University of Minho Institutional Repository.



# University of Minho

## Intellectual Output Policy



- 4 - Documents whose availability in open access may constitute an infraction to the licence granted to third parties (editors, etc.) by the author(s) or by University of Minho, that contain confidential matters or are intended for commercialization (books, etc.), will not be available in open access in RepositoriUM, but only referenced with the traditional metadata, or made available in restricted access to the University of Minho network.
- 5 - By using RepositoriUM to implement an open access policy to its scientific output, University of Minho stands in the vanguard of an international growing movement, which has been gaining supporters in and outside universities and research centers and is coherent with its adhesion and signing, in November 2004, of the **Berlin Declaration on Open-Access to Knowledge in the Sciences and Humanities**.
- 6 - By using RepositoriUM to collect (or exceptionally only to reference) the publications of its members, University of Minho is facilitating the management, integration and access to the information about scientific output of its schools/departments and staff, for informative, evaluative or administrative purposes, and adding a component of the development of the Information System of the University.



# University of Minho

## Intellectual Output Policy



1 - The **University of Minho Intellectual Output Policy** is thus established:

- a) Teachers and researchers at University of Minho who are authors or co-authors must archive their publications and documents in RepositoriUM – University of Minho Institutional Repository, to be made available in open access with the exceptions previously mentioned;
- b) The organisational units (research centers, departments/schools) must sign and adopt self-archive policies for the scientific output of their members, based in the sample attached to the present document.
- c) Authors of thesis and dissertations approved by University of Minho must authorise the archive of their thesis or dissertation in RepositoriUM.

2 - In 2005, the Rectory (government of University) will provide a **financial supplement** to schools and research centers, proportional to their adjustment to the policy of open access in RepositoriUM.



# Present situation



- 42 out of 50 (84%) eligible organizational units (research centers/departments) have declared institutional commitment to OA University policy
- 19 new communities (organizational units) are in installation process in RepositóriUM
- 9 communities are already in RepositóriUM
- $\approx 750$  documents archived in RepositóriUM



# Conclusions and future work



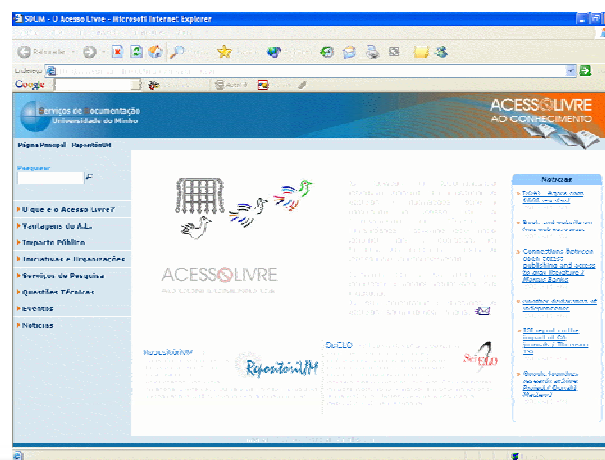
- The population/growing of RepositóriUM was slower than initially expected.
- The number of authors that self-archive is very limited (the rest of the documents are submitted with some form of mediation)...
- ...but the number of authors that are aware of OA, and the advantages of OA availability of their research is increasing



# Conclusions and future work



- Implementing U.M. OA policy
- Developing value added services (lists, reports, statistics, etc.)
- Facilitating self-archive/deposit of documents
- Promotion of Open Access:
- [www.sdum.uminho.pt/site/acessolivre](http://www.sdum.uminho.pt/site/acessolivre)





# Thank you!



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**RepositóriUM** UM universidade sem muros...

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Universidade do Minho >

**Bem-vindos ao repositório institucional da Universidade do Minho!**

**Sugerir Documento a um Colega!**  
Esta nova funcionalidade permite enviar alertas a colegas sugerindo a visualização de documentos depositados no RepositóriUM.

**Guia de Adesão de Novas Comunidades!**  
Toda a documentação necessária e informações indispensáveis para a criação de uma comunidade no RepositóriUM.  
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Use aspas (") para recuperar frases, + e - para adicionar ou eliminar palavras e a truncatura (\*) para recuperar palavras com a mesma raiz (educ\* recupera educação, education...). [\[Guia completo de ajuda\]](#)

**Comunidades no RepositóriUM**

Selecione uma comunidade para percorrer as suas colecções.

**+ Acesso = + Impacto**  
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Serviço de Pesquisa em diversos repositórios de outras instituições académicas.

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